

Roll No.

Total Pages : 2

VBFS/D-19

20171

MUTUAL FUND OPERATIONS-II

Paper-BFSI-306

Time : Three Hours]

[Maximum Marks : 80

Note : Attempt *five* questions in all. Question No. 1 which is compulsory. All questions carry equal marks.

1. Compulsory Question

State and explain the following :

- (a) Financial Intermediation.
- (b) Systematic Investment Plans (SIP).
- (c) After sale services for mutual fund products.
- (d) Systematic risk.

- 2. State and describe procedure of operation of mutual fund account with designated entity.
- 3. Describe the payment process, payment channels and accepted payment methods in case of mutual fund operations.
- 4. How are monetary and non-monetary transactions are handled at the client desk in mutual fund entities ? Explain.

- 5. Identify and describe Indian customer profile of the mutual fund schemes.
- 6. How is risk measured in case of mutual fund investments. Explain the factors influencing this risk.
- 7. Describe salient features of SEBI guidelines to protect investors in mutual funds.
- 8. Identify and describe the impact of economic and financial factors on mutual fund market.

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